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Express Mail No. EL685148672US

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
(DO/EO/US)

09/763550
JC04 Rec'd PCT/PTO 11 APR 2001

Applicant(s): Franco ARCIERI, et al..

Re: RESPONSE TO NOTIFICATION OF
MISSING REQUIREMENTS UNDER 35 U.S.C.
371 IN THE UNITED STATES
DESIGNATED/ELECTED OFFICE
(DO/EO/US)

U.S. Serial No.: 09/762,540

Group Art Unit: not yet assigned

INTERNATIONAL APPLICATION NO.:
PCT/IT99/00262

Our Ref.: B-4097PCT 618574-3

INTERNATIONAL FILING DATE:
11 August 1999

For: "NETWORK ACCESS CONTROL DEVICE
THROUGH FAST RECOGNITION OF
APPLICATION FRAMES"

Date: April 11, 2001

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Attn: John L. Anderson
U.S. National Stage Processing
PCT International Division

Dear Mr. Anderson:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated 03/19/2001 and the Notification of Defective Oath or Declaration dated 03/19/2001 (copies enclosed), please find enclosed the following:

1. Declaration/Power of the inventors, identifying the application by U.S. application number, PCT international application number; and PCT international filing date;
2. Check in the amount of \$65.00 to cover the small-entity surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date; and
3. Verified Statements that this filing is by small entities with a separate cover sheet.

09/762540 NGUYEN 00000067 09762540

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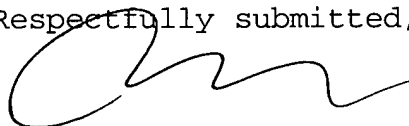
65.00 OP

Letter re: Missing Requirements
International Appln. No. PCT/IT99/00262
U.S. Serial No. 097762,540
April 11, 2001
Page 2

AUTHORIZATION TO CHARGE ADDITIONAL FEES

- [X] The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415.
- [X] 37 CFR 1.16 (filing fees and presentation of extra claims)
- [X] 37 CFR 1.17 (application processing fees)
- [] 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

Respectfully submitted,



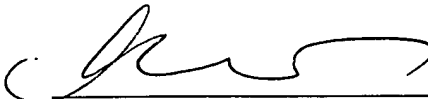
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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date April 11, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL685148672US addressed to the:

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Attn: John L. Anderson
U.S. National Stage Processing
PCT International Division



Karyn Lao

Date: April 11, 2001



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO. 09/762540	FIRST NAMED APPLICANT ARCIERI	ATTY. DOCKET NO. F B-4097PCT 61
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LADAS & PARRY
5670 WILSHIRE BOULEVARD SUITE 2100
LOS ANGELES, CA 90036 5679

INTERNATIONAL APPLICATION NO.

PCT/1199/00262

I.A. FILING DATE

PRIORITY DATE

11 AUG 99

12 AUG 98

DATE MAILED:

19 MAR 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

☐ a Designated Office (37 CFR 1.494),

☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☐ Translation of the international application into English.

☒ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed FEB 08 2001 and

☒ Information Disclosure Statement(s) filed FEB 08 2001 and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☒ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed:

☒ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

John L. Anderson

Telephone: 703-308-9116